### RECEIVED **CENTRAL FAX CENTER**

Attorney Docket No. 01711/LH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Shigenori MORIKAWA

Serial No. : 09/991,774

Filed November 5, 2001

For DATA COMMUNICATION TERMINAL

> THAT WARNS A USER WHEN A DATA TRANSMISSION AMOUNT

LIMIT IS REACHED

Art Unit 2667

Conf. No. 5900

Examiner Blanche Wong

INFORMATION DISCLOSURE STATEMENT WITH STATEMENT UNDER 37 CFR 1.97(e) AND STATEMENT UNDER 37 CFR 1.704 (d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Submitted herewith are the following:

- Copy of a Japanese Office Action dated August 15, 2006 (and English translation thereof) issued in a counterpart Japanese application;
- Copies of cited publications; and (2)
- Form PTO/SB/08A. (3)

An English translation of said Japanese Office Action is provided, thereby satisfying the requirements for a concise explanation of relevance for any non-English language publications cited therein (MPEP 609.04(a) III)).

CERTIFICATE OF FACSIMILE TRANSMISSION TO NO. 1-571-273-8300

TOTAL PAGES: 26 I hereby certify that this paper is being facsimile transmitted to the Commissioner for Patents, on the date noted below

Diane J. Hegstrom

Dated: September 5, 2006

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

# RECEIVED **CENTRAL FAX CENTER**

SEP 0 5 2006

Application No. 09/991,774

Customer No. 01933

#### STATEMENT UNDER 37 CFR 1.97(e)(1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from foreign patent offices in counterpart foreign applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of August 15, 2006. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

### STATEMENT UNDER 37 CFR 1.704(d)

Each item of information contained in this Information Disclosure Statement was cited in said communication from a foreign patent office in a counterpart application, and this communication was not received by any individual designated in \$1.56(c) more than thirty days prior to the filing of the present Information Disclosure Statement.

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted,

Leonard Holtz, Reg. No. 22,9

FRISHAUF HOLTZ GOODMAN & CHICK, P.C 220 FIFTH AVENUE NEW YORK, N.Y. 10001-7708 Tel. No. (212) 319-4900 Fax No. (212) 319-5101 LH:djh

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Substitute for Form 1449A/PTO					Applic	Application Number			9/991,774	INDIAN OF COM	VILITO	
					Filing	Filing Date			November 5, 2001			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					First Named Inventor			8	Shigenori MORIKAWA			
					Group Art Unit			2	2667			
						Examiner Name			Blanche Wong			
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U.S. PATENT DOCUMENTS												
Exam. Inits*	Cite No <sup>1</sup>	Cite Document Number			Kind Code <sup>2</sup>		Name of Patentee of Applicant	Name of Patentee or Applicant		Relevant Portion		
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE MAILED: September 5, 2006

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-tetter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.